

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE



19
Serial No. : 10/632,949
Applicants : Masahiro ISHIMA et al.
Filed : July 31, 2003
For : NOVEL PEPTIDES, DERIVATIVES
THEREOF, PROCESS FOR PRODUCING
THE SAME, NOVEL STRAIN...
Art Unit : 1646
Docket No. : 03461C/HG
Customer No.: 01933
Confirmation No.: 5027

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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on the date noted below.

Richard S. Barth
Attorney: Richard S. Barth

Dated: January 20, 2004

In the event that this Paper
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RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

S I R :

This is in response to the NOTICE TO COMPLY WITH
REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES mailed on
November 25, 2003, which included a Raw Sequence Listing Error
Report. A term of two months was set for responding to said
NOTICE, which ends on January 25, 2004.

A copy of said NOTICE dated November 25, 2003 is enclosed.

The NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES required that applicants submit a Substitute computer readable form (CRF), a paper copy of the Substitute Sequence Listing and an appropriate Statement under 37 CFR 1.821.

In response to the aforesaid NOTICE, submitted herewith are the following:

(1) computer readable form and paper copy of a Substitute Sequence Listing; and

(2) a STATEMENT UNDER 37 CFR 1.821(g) of Naoko Namba dated January 19, 2004.

It is respectfully submitted that the enclosures submitted herewith serve to comply with the requirements set forth in the aforementioned NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES and serve to correct the errors set forth in the Raw Sequence Listing Error Report.

A PRELIMINARY AMENDMENT is being filed concomitantly herewith to include the Substitute Sequence Listing that is appended hereto.

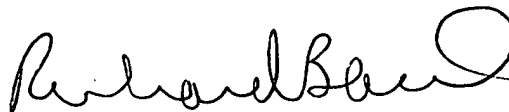
It is respectfully submitted that the application complies with all the requirements of 37 CFR 1.821 to 1.825.

Appl. No. 10/632,949

Response to Notice mailed November 25, 2003

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RSB/ddf

Respectfully submitted,



Richard S. Barth
Reg. No. 28,180

- Encs.: (1) Copy of PTO NOTICE mailed November 25, 2003
(2) computer readable form and paper copy of
the Substitute Sequence Listing
(3) STATEMENT UNDER 37 CFR 1.821(g) of
Naoko Namba dated January 19, 2004
(4) PRELIMINARY AMENDMENT



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/632,949	07/31/2003	Masahiro Ishima	03461C/HG

CONFIRMATION NO. 5027

FORMALITIES LETTER



OC000000011352189

01933
 FRISHAUF, HOLTZ, GOODMAN & CHICK, PC
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Date Mailed: 11/25/2003

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to: Mail Stop Missing Parts
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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Ma', is positioned above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE